

1.0 Results Framework

The FCPF Results Framework outlines how the FCPF's expected **results** will be monitored, specifying the **indicators** that will be used to measure progress against each result. For each indicator, the Results Framework also details the indicator's **baseline** (starting point), its time-bound **milestones and targets**, the **data sources** that should be used to gather evidence, and the FCPF stakeholders that have lead **responsibility** for monitoring and reporting on progress against each indicator. The logical **assumptions** underpinning each FCPF result are also listed.

Key dates

	Baseline	Milestone 1	Milestone 2	Milestone 3	Target
Readiness Fund	FY08	FY19	n/a	n/a	FY21
Carbon Fund	FY11	FY19	FY21	FY23	FY26

Result	Indicator	Baseline FY11	Milestone			Target FY26	Sources (Monitoring Responsibility)	Assumptions
			FY19	FY21	FY23			
IMPACT 1: Reduced emissions from deforestation and forest degradation	I.1.A: Number of tons of CO ₂ e emission reductions and removals through CF ER programs (t CO ₂ e)	0	Nil	14 million	47 million	170 million	CF MRV (CF REDD+ Country Participants, aggregation by FMT)	REDD+ processes and projects deliver reduced emissions and/or increased removals
	I.1.B: Number of tons of CO ₂ e emission reductions and removals through REDD+ interventions in all FCPF supported countries (t CO ₂ e)	0	TBD	TBD	TBD	TBD	NDCs / BURs (FMT)	
	I.1.C: Total forest area re/afforested or restored through CF supported interventions (ha)	0	TBD	TBD	TBD	TBD	CF MRV (CF REDD+ Country Participants, aggregation by FMT)	
IMPACT 2: Sustained or enhanced biodiversity and livelihoods for forest dependent men and women	I.2.A: Number of people receiving monetary and/or non-monetary benefits through CF programs (disaggregated by gender)	0	TBD	TBD	TBD	TBD	ERPDs; CF MRV / progress reports (CF REDD+ Country Participants)	REDD+ processes support the development of programmes that sustain or enhance livelihoods and/or conserve biodiversity
	I.2.B: Amount of protected or conserved areas included in CF programs, if relevant (ha)	0	TBD	TBD	TBD	TBD	ERPDs; CF MRV / progress reports (CF REDD+ Country Participants)	

Result	Indicator	Baseline FY08	Milestone FY19	Target FY21	Sources (Monitoring Responsibility)	Assumptions
OVERARCHING OUTCOME: Improved governance and transparency for sustainable forest resource management (including REDD+ interventions) within Participant Countries	OV.1.A: Extent to which FCPF has influenced REDD+ Country Participants' national approaches to sustainable forest resource management (including among women, women's groups, IPs, CSOs, local communities)	No targets (qualitative progress measured through evaluation)			Periodic 'Influence' evaluation	Sustained political commitment to REDD+ REDD+ processes support improved governance, increase transparency, and more sustainable forest resource management
	OV.1.B: Number of FCPF supported countries that have in place a National REDD+ Strategy, FREL/FRL, NFMS and SIS	0	8	16	REDD+ Country Participant progress reports; NDCs / BURs (REDD+ Country Participants, aggregation by FMT)	Substantive multi-stakeholder participation (including women, IPs, CSO, local communities) improves governance, increases transparency and results in more sustainable forest resource management
OUTCOME 1: The Readiness Fund supports the development of capacity within Participant countries to deliver REDD+ and/or access REDD+ finance	1.A: Number of R-Packages endorsed by PC	0	19	26	PC Documentation (FMT)	Systems and capacities developed through the RF process remain in place to deliver REDD+ in the long term Sufficient REDD+ finance / incentives are available to meet country demands
	1.B: Amount of finance mobilized to support the REDD+ Readiness process (disaggregated by public, private, grants, loans)	No targets (indicator reported on annually)			REDD+ Country Participant progress reports (REDD+ Country Participants, aggregation by FMT)	
	1.C: Amount of REDD+ ER payments secured by countries with endorsed R-Packages through non-FCPF ER schemes	No targets (indicator measured through external evaluation)			External evaluation	

Result	Indicator	Baseline FY08	Milestone FY19	Target FY21	Sources (Monitoring Responsibility)	Assumptions
OUTPUT 1.1: Readiness Assessment Framework is agreed upon and disseminated	1.1: Existence of published assessment framework on readiness package	Output delivered 2013				
OUTPUT 1.2: Countries demonstrate an adequate plan to achieve preparedness for REDD+ funding	1.2.a: Number of R-PPs endorsed by PC	0	46	Last RPP endorsed in July 2017	PC Documentation (FMT)	The RF process builds systems and capacities that are sufficient for developing and managing REDD+ projects
	1.2.b: Number of Readiness Preparation Grant agreements signed	0	45	45	PC Documentation (FMT)	
	1.2.c: Value of grant allocations, before signing	\$0	\$309 million	\$309 million	PC Documentation (FMT)	
	1.2.d: Value of signed grants	\$0	\$304 million	\$309 million	PC Documentation (FMT)	
OUTPUT 1.3: Countries progress adequately on implementation of their R-PP and Grant Agreements	1.3.a: Number of countries that present mid-term progress (MTRs) reports	0	39	40	Participant progress reports (REDD+ Country Participants)	The political and socio-economic context in Participant countries remains stable enough to support development and delivery of R-Packages
	1.3.b: Number of countries that have completed R-PP Component 1: Organize and Consult ¹	0	30	37	Participant progress reports (REDD+ Country Participants)	
	1.3.c: Number of countries that have completed R-PP Component 2: Prepare the REDD+ Strategy ²	0	16	30	Participant progress reports (REDD+ Country Participants)	
	1.3.d: Number of countries that have completed R-PP Component 3: Develop a National FREL	0	33	40	Participant progress reports (REDD+ Country Participants)	
	1.3.e: Number of countries that have completed R-PP Component 4: Design systems for National Forest Monitoring and Information on Safeguards	0	18	25	Participant progress reports (REDD+ Country Participants)	

¹ Determined by a weighting of responses (1 to 5) from the Annual Country Progress Report of countries with average rating of at least 4/green (Significant progress).

² Determined by a weighting of responses (1 to 5) from the Annual Country Progress Report of countries with average rating of at least 4/green (Significant progress).

	1.3.f. Readiness Fund disbursement rate	Via WB: \$0 (0% of signed grants)	Via WB: \$176 million (60% of signed grants)	Via WB: \$246 million (95% of signed grants)	FCPF Financial Monitoring Systems (FMT)	
		Via DPs: \$0 (0% of signed grants) (FY08)	Via DPs: \$30 million (60% of signed grants) (FY19)	Via DPs: \$63 million (95% of signed grants) (FY21)		



Result	Indicator	Baseline FY11	Milestone			Target FY26	Sources (Monitoring Responsibility)	Assumptions
			FY19	FY21	FY23			
OUTCOME 2: The Carbon Fund incentivises the development and delivery of REDD+ ER programs	2.A: Number of tons of CO ₂ e emission reductions and removals committed through signed ERPAs (t CO ₂ e) ³	0	50 million	170 million	170 million	170 million	ERPAs (Aggregation by FMT)	Financial and/or non-financial incentives are sufficient for Participants to develop and deliver REDD+ projects Multi-stakeholder groups (particularly womens' groups, IPs, CSOs, local communities) remain engaged with and supportive of REDD+
	2.B: Amount of finance mobilized to support delivery of CF ER programs (disaggregated by public, private, ERPs, grants, loans, equity)	No targets (indicator reported on annually)					CF MRV / progress reports (CF REDD+ Country Participants, aggregation by FMT)	
	2.C: Amount of REDD+ ER payments secured by CF countries through non-FCPF ER schemes	No targets (indicator reported on annually)					CF MRV / progress reports (CF REDD+ Country Participants, aggregation by FMT)	
	2.D: % of monetary benefits from CF programs shared with beneficiaries (disaggregated by gender, CSOs, IPs, Local Communities)	0%	0%	TBD	TBD	TBD	CF MRV / progress reports (CF REDD+ Country Participants)	

Result	Indicator	Baseline FY08/FY11	Milestone			Target FY21/FY26	Sources (Monitoring Responsibility)	Assumptions
			FY19	FY21	FY23			
OUTPUT 2.1: Standards and preparations in place for high-quality ER Programs discussed	2.1.a: Standards and management tools discussed and endorsed by CF participants and/or PC for ER programs including: a) Methodological Framework and Pricing Approach b) Business processes (ER-PIN, ERPD, ERPA)	All indicator targets delivered 2014, except ERPA business process, to be delivered in FY19						Participant countries have the necessary capacity and resources to meet all the requirements and standards of the

³ All ERPAs will be signed by FY21 hence no change in the number of tons for Milestone FY23 or Target FY26

and endorsed by CF Participants and/or PC	c) Legal documents (General conditions, ERPA term sheet)							Methodological Framework Incentives are sufficient to attract private sector engagement (including financial investment) in REDD+
	2.1.b: Development of CF approach to registries	0 (FY11)	Research & guidance published, Options tested for centralised and country registries	CF registry approach endorsed & implemented (FY21)	n/a (to be completed in FY21)	CF registry approach endorsed & implemented (FY21)	CF Meeting Documentation (FMT)	
OUTPUT 2.2: Countries have entered into the portfolio of the Carbon Fund	2.2.a: Number of early ideas presented by countries to the CF	0 (FY11)	24	Last early idea delivered at Carbon Fund meeting CF12				
	2.2.b: Number of ER-PINs presented by countries to the CF	0 (FY11)	20	Last ER-PIN presented at Carbon Fund meeting CF14				
	2.2.c: Number of countries invited into the CF pipeline	0 (FY11)	19	Last country entered the CF pipeline at Carbon Fund meeting CF11				
	2.2.d: Number of countries signing a CF Letter of Intent	0 (FY11)	19	Last Lol was signed at Carbon Fund meeting CF16				
	2.2.e: Number of ERPDs presented by countries to the CF	0 (FY08)	16	16	16	16 (FY21)	CF Meeting Documentation (FMT)	
	2.2.f: Number of countries accepted into CF portfolio	0 (FY08)	14	16	16	16 (FY21)	CF Meeting Documentation (FMT)	
	2.2.g: Number of countries that have signed an ERPA with the CF	0 (FY08)	5	16	16	16 (FY21)	CF Meeting Documentation (FMT)	
OUTPUT 2.3: Private sector engages with the Carbon Fund	2.3.a: Extent to which CF programs influence private sector engagement with low / zero deforestation and REDD+ processes	No targets (qualitative progress measured through evaluation)					Periodic 'Influence' evaluation	
	2.3.b: Number of formal partnerships between CF programs and private sector entities (disaggregated by financial, non-financial)	0 (FY11)	5	8	10	10 (FY26)	CF MRV / progress reports (CF REDD+ Country Participants, aggregation by FMT)	

OUTPUT 2.4: Countries progress adequately on implementation of their ER Programs	2.4.a: Number of completed CF programs	0 (FY11)	0	0	0	15 (FY26)	CF MRV / progress reports (CF REDD+ Country Participants, aggregation by FMT)	
	2.4.b: % of CF program budgets (as per ERPD) covered by secured finance	No targets (indicator reported on annually)						ERPDs; CF MRV / progress reports (CF REDD+ Country Participants, aggregation by FMT)
	2.4.c: Actual ERs purchased by CF as % of originally committed ERs (as per ERPA)	n/a (FY11)	n/a	9%	29%	60% (FY26)	ERPDs; CF MRV / progress reports (CF REDD+ Country Participants, aggregation by FMT)	

Result	Indicator	Baseline FY08/FY11	Milestone			Target FY21/FY26	Sources (Monitoring Responsibility)	Assumptions
			FY19	FY21	FY23			
OUTCOME 3: Participant countries strengthen delivery of programming aimed at sustaining or enhancing livelihoods of local communities and/or conserving biodiversity	3.A: Extent to which FCPF processes support Participant country efforts to sustain and enhance livelihoods within REDD+ intervention areas	No targets (qualitative progress measured through evaluation)					External 'non-carbon benefits' evaluation	A sufficient number of CF Participants develop and deliver projects that explicitly aim to sustain or enhance livelihoods and/or conserve biodiversity
	3.B: Extent to which FCPF processes support Participant country efforts to conserve biodiversity within REDD+ intervention areas	No targets (qualitative progress measured through evaluation)					External 'non-carbon benefits' evaluation	

OUTPUT 3.1: Enhanced capacity of IPs and CSOs to engage in REDD+ processes at the country level	3.1.a: Number of participants in CBP-funded activities (disaggregated by gender, CSOs, IPs)	0 (FY08)	54,500 (Of which 24,525 female 42,700 CSO 11,800 IPs)	n/a	n/a	68,100 (Of which 30,645 female 55,100 CSO 13,000 IPs) (FY21)	CBP Monitoring Reports (CBP Delivery Partners)	CBP activities are targeted at appropriate stakeholders, and reach a sufficient amount of stakeholders Participants in CBP activities are able to subsequently engage in REDD+ processes
	3.1.b: % of participants in CBP-funded activities indicating that: – they have more confidence to engage with REDD+ processes – they will definitely increase their engagement with REDD+ processes (disaggregated by gender, CSOs, IPs)	Baseline and targets to be defined after first usage of revised monitoring reports (2018)					CBP Monitoring Reports (CBP Delivery Partners)	
OUTPUT 3.2: FCPF Participant countries test ways to sustain and enhance livelihoods	3.2.a: Number of CF programs that test ways to sustain and enhance livelihoods	0 (FY11)	4	9	14	16 (FY26)	ERPDS; CF MRV / progress reports (CF REDD+ Country Participants)	REDD+ processes are conducive to the sustaining and enhancing of livelihoods REDD+ processes are conducive to the conserving of biodiversity
	3.2.b: Number of RF REDD+ Country Participants whose REDD+ Strategies include activities that directly aim to sustain and enhance livelihoods	0 (FY08)	39	44	n/a	44 (FY21)	Participant progress reports; National REDD+ Strategies (REDD+ Country Participants)	
OUTPUT 3.3: FCPF Participant countries test ways to conserve biodiversity	3.3.a: Number of CF programs that test ways to conserve biodiversity	0 (FY11)	14	16	16	16 (FY26)	ERPDS; CF MRV / progress reports (CF REDD+ Country Participants)	
	3.3.b: Number of RF REDD+ Country Participants whose REDD+ Strategies include activities that directly aim to conserve biodiversity	0 (FY08)	39	44	n/a	44 (FY21)	Participant progress reports; National REDD+ Strategies	

							(REDD+ Country Participants)	
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Result	Indicator	Baseline FY08	Milestone			Target FY26	Sources (Monitoring Responsibility)	Assumptions
			FY19	FY21	FY23			
OUTCOME 4: Enhanced learning from FCPF on global implementation of REDD+	4.A: Extent to which learning, evidence and knowledge products generated through the FCPF influences other REDD+ programs and practice	No targets (qualitative progress measured through evaluation)					Periodic 'Influence' evaluation	Continued international support for and momentum behind REDD+
	4.B: Participant Countries' assessment of FCPF's role within and contribution to national REDD+ processes	Baseline and targets to be defined after first usage of revised monitoring reports (2018)					Participant progress reports (REDD+ Country Participants)	FCPF continues to be recognised as a central actor within REDD+

OUTPUT 4.1: Knowledge gained during the development and delivery of FCPF activities is disseminated, in line with Communications and Knowledge strategy	4.1.a: Number of knowledge products produced	0	20 news stories/blogs	35 news stories/blogs	50 news stories/blogs	60 news stories/blogs	FMT monitoring (FMT)	REDD+ participants have knowledge gaps, and actively look to address those gaps
			30 knowledge seminars	40 knowledge seminars	50 knowledge seminars	60 knowledge seminars		
			8 Other products	12 Other products	15 Other products	20 Other products		
	4.1.b: Number of unique and returning visitors to FCPF website	0	No targets, but traffic data reported annually				Web analytics (FMT)	
4.1.c: Extent to which FCPF learning and evidence influences ongoing FCPF implementation	No targets (qualitative progress measured through evaluation)					Periodic 'Influence' evaluation		
OUTPUT 4.2: Participants actively engage in South-South learning activities	4.2.a: Number of FCPF-supported S-S learning activities and/or events connecting FCPF countries	0	8	10	14	15	Learning activity monitoring reports (FMT)	
	4.2.b: Number of participants in S-S learning activities and/or events connecting FCPF countries (disaggregated by gender, CSOs, IPs)	0	300 {Of which 105 female	400 (Of which 105 female	450 (Of which 158 female	500 (Of which 175 female	Learning activity monitoring reports (FMT)	

			60 CSO 60 IP)	80 CSO 80 IP)	90 CSO 90 IP)	100 CSO 90 IP)		
	<p>4.2.c: % of participants in S-S learning activities indicating that:</p> <ul style="list-style-type: none"> - they acquired new knowledge or skills - they will definitely apply the new knowledge or skills in their work - they have established new connections / networks that they will maintain <p>(disaggregated by gender, CSOs, IPs)</p>	Baseline and targets to be defined after first usage of revised monitoring reports (2018)				Learning activity monitoring reports; post-activity surveys (FMT)		
<p>OUTPUT 4.3: FCPF knowledge is applied by Participants and other REDD+ practitioners</p>	<p>4.3.a: Extent to which FCPF learning, evidence and knowledge products are used by Participant countries</p>	Baseline and targets to be defined after first usage of revised monitoring reports (2018)				Participant progress reports (REDD+ Country Participants)		
	<p>4.3.b: Number of non-FCPF programs and countries that have adopted elements of the FCPF Methodological Framework within their own REDD+ processes</p>	No targets, but quantities and examples reported annually				Periodic 'Influence' evaluation		